

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	09/870,194
Filing Date	9/29/01
First Named Inventor	Steven J. Caruso
Art Unit	1725
Examiner Name	
Attorney Docket Number	

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 1,341,994	June 01, 1920	OA Nelson	<b>RECEIVED</b> <b>DEC 09 2002</b> <b>GROUP 3600</b>
		US- 2,015,295	Sept. 24, 1935	G Steingruber	
		US- 2,568,276	Sept. 18, 1951	FD Eggleston	
		US- 2,882,382	April 14, 1959	RJ Woxman	
		US- 2,944,540	July 12, 1960	CC Littell, Jr.	
		US- 2,850,613	Sept. 02, 1958	FH McCormick	
		US- 3,051,819	Aug 28, 1962	DG Smith	
		US- 3,221,137	Nov 30, 1965	JJ Madden	
		US- 3,224,743	Dec 21, 1965	LI Freedman Et Al	
		US- 3,790,750	Feb 05, 1974	Giannini	
		US- 4,237,856	Dec 09, 1980	Trombatore	
		US- 4,349,713	Sept 14, 1982	Marsen	
		US- 4,568,132	Feb 04, 1986	Watt	
		US- 4,620,476	Nov 04, 1986	Brym	
		US- 4,645,905	Feb 24, 1987	Ming	
		US- 4,814,571	March 21, 1989	Bowen et al	
		US- 4,934,337	June 19, 1990	Falk	
		US- 5,127,721	July 07, 1992	Inden	
		US- 5,533,797	July 09, 1996	Gelber	
		US- 5,945,024	Aug 31 1999	Fukunga et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

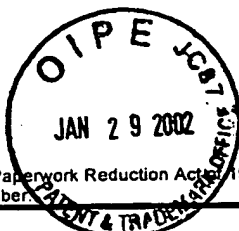
Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08B (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Substitute for form 1449B/PTO		<b>Complete if Known</b>	
		Application Number	09/870,194
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	9/29/01
		First Named Inventor	Steven J. Caruso
		Group Art Unit	1725
		Examiner Name	
		Attorney Docket Number	
Sheet	3	of	3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		AUTON MOTORIZED SYSTEMS Web site Page: <a href="http://auton.com/content/profile.html">http://auton.com/content/profile.html</a>
<b>RECEIVED</b> DEC 09 2002 <b>GROUP 3600</b>		

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

